



JAN 10 2002

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## UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/945,558	08/30/2001	Stephen Jones	LIT-114/AME 1412

CONFIRMATION NO. 1831

## FORMALITIES LETTER



\*OC000000006883086\*

 Robert J. Brill  
 Grossman, Patti & Brill  
 Suite 1200  
 300 West Washington Street  
 Chicago, IL 60606

Date Mailed: 10/10/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$342.
  - \$342 for 19 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1182.

01/15/2002 NROCHA1 00000105 501941 09945558

01 FC:105 130.00 CH

03/21/2002 SSALEEKU 00000003 501941 09945558

01 FC:103 18.00 CH

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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Decker  
\$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Jones, et al. EXAMINER: NA  
SERIAL NO.: 09/945,558 GROUP: 2661  
FILED: 08/30/2001 DOCKET NO.: LIT-114/AME 1412  
TITLE: COMMUNICATION NODE RECEIPT OF NODE-OUTPUT  
INFORMATION FROM PROCESSORLESS CENTRAL EQUIPMENT

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Enclosed are the following documents related to the above referenced application:

Notice to File Missing Parts of Nonprovisional Application  
Fee Transmittal for FY 2002 w/1 copy for fees  
5-page Executed Declaration and Power of Attorney  
Recordation Form Cover Sheet  
3-page Executed Assignment and Agreement  
Return Receipt Postcard

The Commissioner is hereby authorized to charge the Deposit Account of Carmen B. Patti, **Deposit Account No. 50-1941, the fee of \$1,252.00.** In the event of an improperly paid fee, the Commissioner is hereby authorized to debit/credit the account as necessary to correct the error. A duplicate copy of this sheet is enclosed for fee purposes.

**CERTIFICATE OF MAILING**

Respectfully submitted,

Robert J. Brill  
Reg. No. 36,760

Grossman, Patti & Brill  
300 West Washington Street  
Suite 1200  
Chicago, Illinois 60606  
(312) 346-2800  
Fax (312) 346-2810  
E-mail brill@grossmanpatti.com

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Attention: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231, on November 16, 2001.

\_\_\_\_\_  
Laura A. Hernandez Date

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PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL**  
**for FY 2002**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$)1,252.00

## Complete if Known

Application Number	09/945,558
Filing Date	August 30, 2001
First Named Inventor	Jones
Examiner Name	NA
Group Art Unit	2661
Attorney Docket No.	LIT-114/AME-1412

## METHOD OF PAYMENT

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

50-1941

Deposit  
Account  
Name

Carmen B. Patti

- ☒
- Charge Any Additional Fee Required
- 
- Under 37 CFR 1.16 and 1.17

- ☐
- Applicant claims small entity status.
- 
- See 37 CFR 1.27

- 2.
- ☐
- Payment Enclosed:

☐ Check ☐ Credit card ☐ Money  
Order ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	740
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$)740

## 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
39	-20** = 19	18	342
3	-3** = 0	84	0
Multiple Dependent			0

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$)342

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within month	
117	920	217	460	Extension for reply	
118	1,440	218	720	Extension for	
128	1,960	228	980	Extension	
119	320	219	160	No	
120	320	220	160		
121	280	221			
138	1,510			proceeding	
140				uable	
142				intentional	
143				(or reissue)	
144	62			ue fee	
122	130			ssue fee	
123	50	12		itions to the Commissioner	
126	180	126	180	Processing fee under 37 CFR 1.17(q)	
581	40	581	40	Submission of Information Disclosure Stmt	40
146	740	246	370	Recording each patent assignment per property (times number of properties)	
149	740	249	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
179	740	279	370	For each additional invention to be examined (37 CFR § 1.129(b))	
169	900	169	900	Request for Continued Examination (RCE)	
				Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)1,70

## SUBMITTED BY

Name (Print/Type)

Robert J. Brill

Registration No.  
(Attorney/Agent)

36,760

## Complete (if applicable)

Telephone

(312) 346-2800

Signature

Robert J. Brill

Date

November 16, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.